ttorney Docket No.: 5693P286

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Vijayan Rajan et al.

Serial No.: 09/828,271

Filing Date: 4/5/2001

For: SYMMETRIC

MULTIPROCESSOR SYNCHRONIZATION USING MIGRATING SCHEDULING

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Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Examiner: Vo, Lilian

Group Art Unit: 2195

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Jenny Kim (Date of Deposit)

(Printed name)

(Signature)

INFORMATION DISCLOSURE STATEMENT AND NOTICE OF OTHER PENDING APPLICATION(S)

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Applicants note that Office Actions have been received in related, copending applications. In particular, an Office Action for U.S. Patent Application No. 09/828,284 was mailed on 11/02/05 and 05/12/05. Copies of these Office Actions are available through the Patent Application Information Retrieval (PAIR) system. If the Examiner so requests, Applicants will provide the Examiner with a copy of these Office Actions.

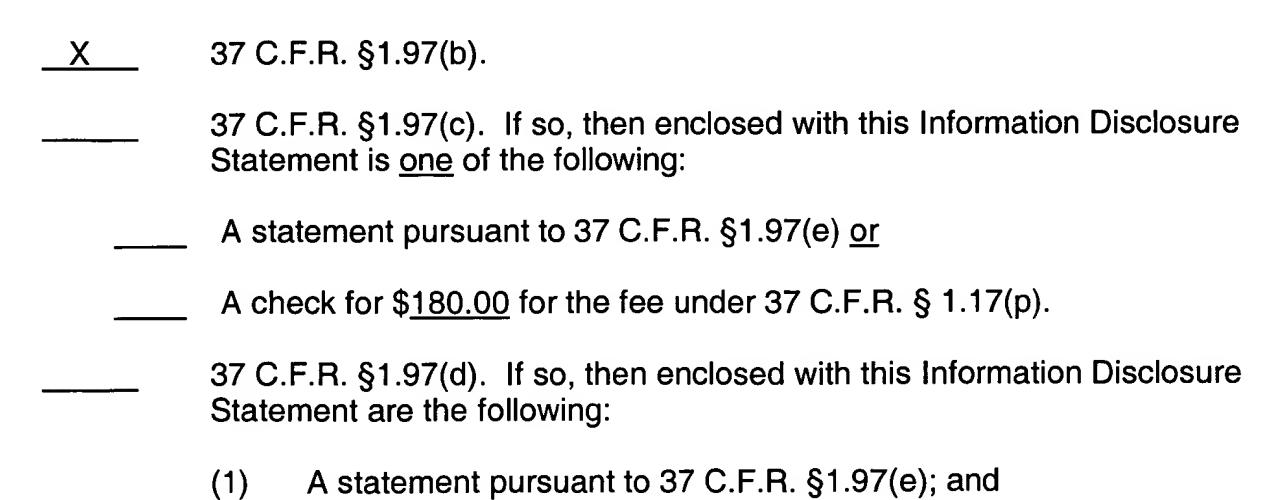
Applicant would like to bring to the Examiner's attention the following co-pending patent application(s), which is/are assigned to the assignee of the present application, and which may be relevant to the present application:

1) U.S. patent application no. 10/829,718, entitled, "Method and Apparatus for Allocating Resources in a Shared Resource Processor," filed on April 21, 2004, of Alexander D. Petruncola et al.

Applicants respectfully request that the above-listed items not be printed on the face of any patent which may issue from the present application.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):



(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

Jordan M. Becker

Reg. No. 39,602

Customer No. 48102 12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Substitute f	or Form 1449	PTO		Complete if Known		
OTPE INFORMATION DISCLOSURE					Application Number	09/828,271
INFORMATION DISCLOSURE					Filing Date	04/05/2001
STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 2					First Named Inventor:	Vijayan Rajan et al.
					Art Unit	2195
					Examiner Name	Vo, Lilian
Sheet	1		of	2	Attorney Docket Number	5693P286
		<u> </u>	U.S. PATE	ENT DOCUMENTS		
Examiner Initials*	Cite No.1	Numt	Document Number Der-Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	5,644,709	7-1-1997	Austin	
		US-	5,872,909	2-16-1999	Wilner et al.	
		US-	6,151,618	11-21-2000	Wahbe et al.	
		US-	2001/0037450	11-1-2001	Metlitski et al.	
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		FO:	REIGN PATENT	DOCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)							
				Application Number 09/828,271 Filing Date 04/05/2001			
				First Named Inventor:	04/05/2001 Vijeven Pajan et al		
				Art Unit	Vijayan Rajan et al. 2195		
17 A	0 -			Examiner Name	Vo, Lilian		
Street	<u>e(C): 2</u>	of	2	Attorney Docket Number	5693P286		
		_	NON PATENT LIT	ERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					
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